



AEVITIUM LTD
RISK & COMPLIANCE WITH PURPOSE

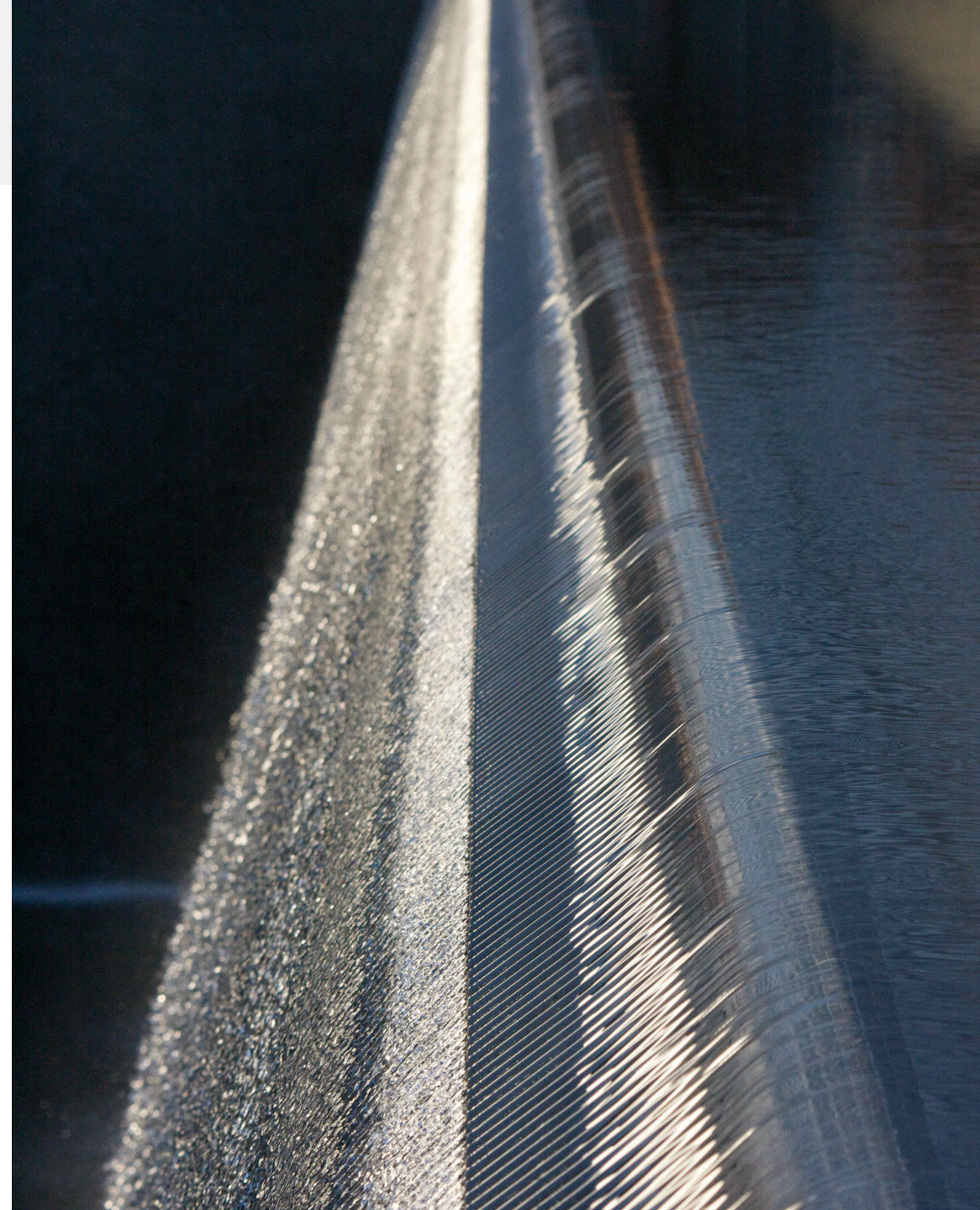
Aevitium LTD

Risk Consultancy and Advisory

Risk and Compliance with Purpose

Julien Haye – Managing Director

September 2023



Aevitium's Services Overview

At Aevitium LTD, we help organisations to navigate complex regulatory and risk landscapes. Our expert risk and compliance consulting services empower businesses to focus on what they do best - pushing the boundaries of innovation and delivering outstanding services to their clients - while we ensure that they remain compliant with industry regulations and set on a sustainable growth path.



Strategic Enablement

See slide 3

Align your risk and compliance activities to your business strategy and focus on the optimisation of your firm's resources (capital, liquidity, technology, etc) to ensure that your firm is well-positioned for success



Policy & Frameworks

Assess, (re)design, roll-out, and embed fit-for-purpose and proportionate risk frameworks, policies and governance to help you to proactively identify and manage risks and to operate safely and efficiently



Transformation

Transform your risk and compliance capabilities and culture, focusing on alignment of capacity, capabilities, innovation and psychological safety



Outsourcing

See slide 4

Provide you with cost effective risk & compliance management outsourcing solutions based on your needs and priorities through our Risk Management as a Service Solution



Advisory

Act as an advisor to Board and Executive Teams on risk and compliance matters, through strategic guidance, training and support to help you to make informed decisions



Regulatory Compliance

Guide you through the complexity of the regulatory landscape, regulatory approval process and regulatory delivery to enable compliance by design and to ensure that your firm becomes and stays compliant and avoids costly penalties



Solutions

See slide 5

Focus your internal change and delivery on pro-active and innovative solutions and bring to market disruptive solutions working in collaboration with tech prodigies and designers





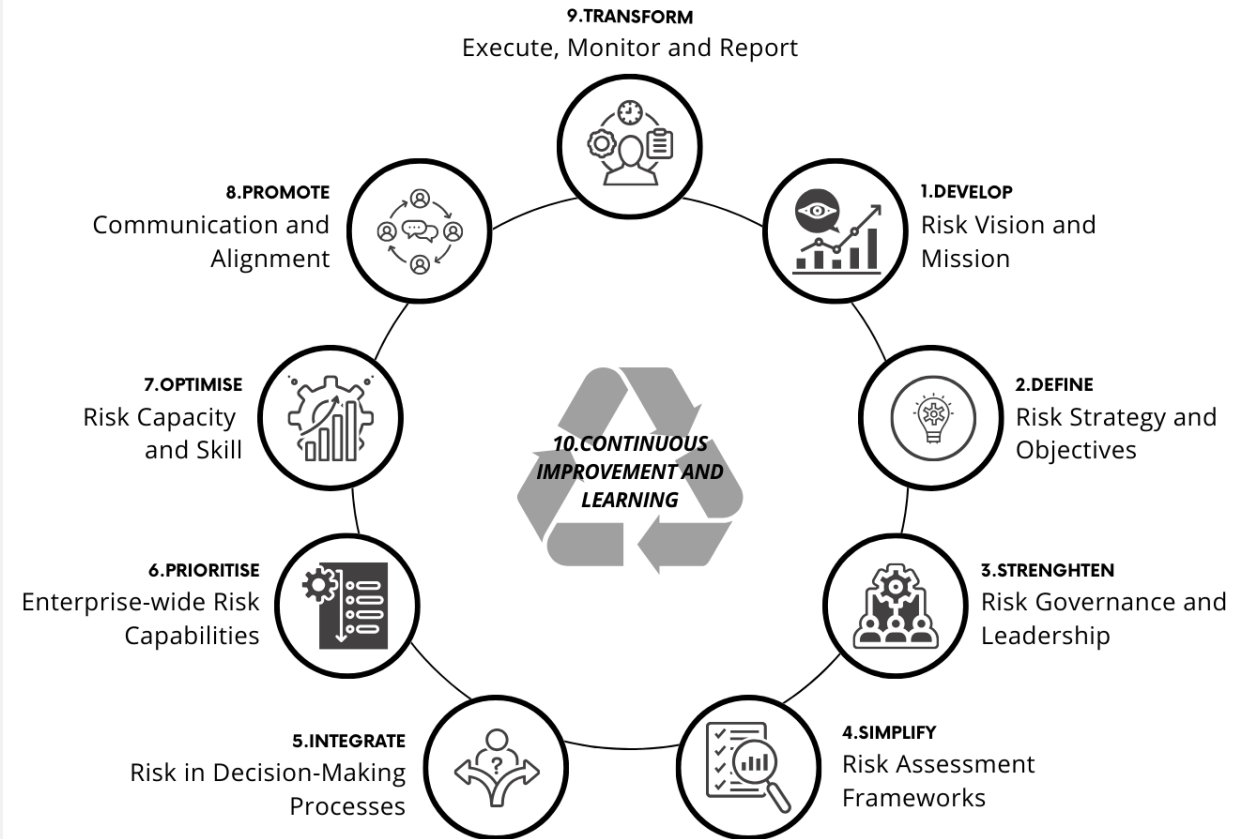
Trainings

Deliver risk and compliance training and educational material



Aevitium LTD's **Integrated Risk Framework** is designed to unlock both **strategic and operational management of risks**, driving value creation, effective risk taking and optimisation of risk resources across your organisation. This 10 steps **modular and approach** delivers ambitious yet targeted **solutions**, fostering **critical thinking** and guiding your people through a **transformative journey**.












Opportunity		Reinforce your risk capabilities to drive risk based strategic decisions and maximise business value creation
Objective		Elevate your organisation risk management to strategic excellence while optimising enterprise-wide risk resources
Deliverables		<ol style="list-style-type: none"> 1) Risk vision and Strategy (items 1,2) 2) Risk framework assessment (items 3-7) 3) Implementation roadmap and communication plan (items 8-10)
Engagement		<ul style="list-style-type: none"> - Operate as an extension to your team, focusing on coaching and empowerment - End-to-end enterprise-wide assessment takes 10-15 weeks, customised to your organisational size and complexity - Support or lead findings implementation, driving lasting impact and continuous improvement



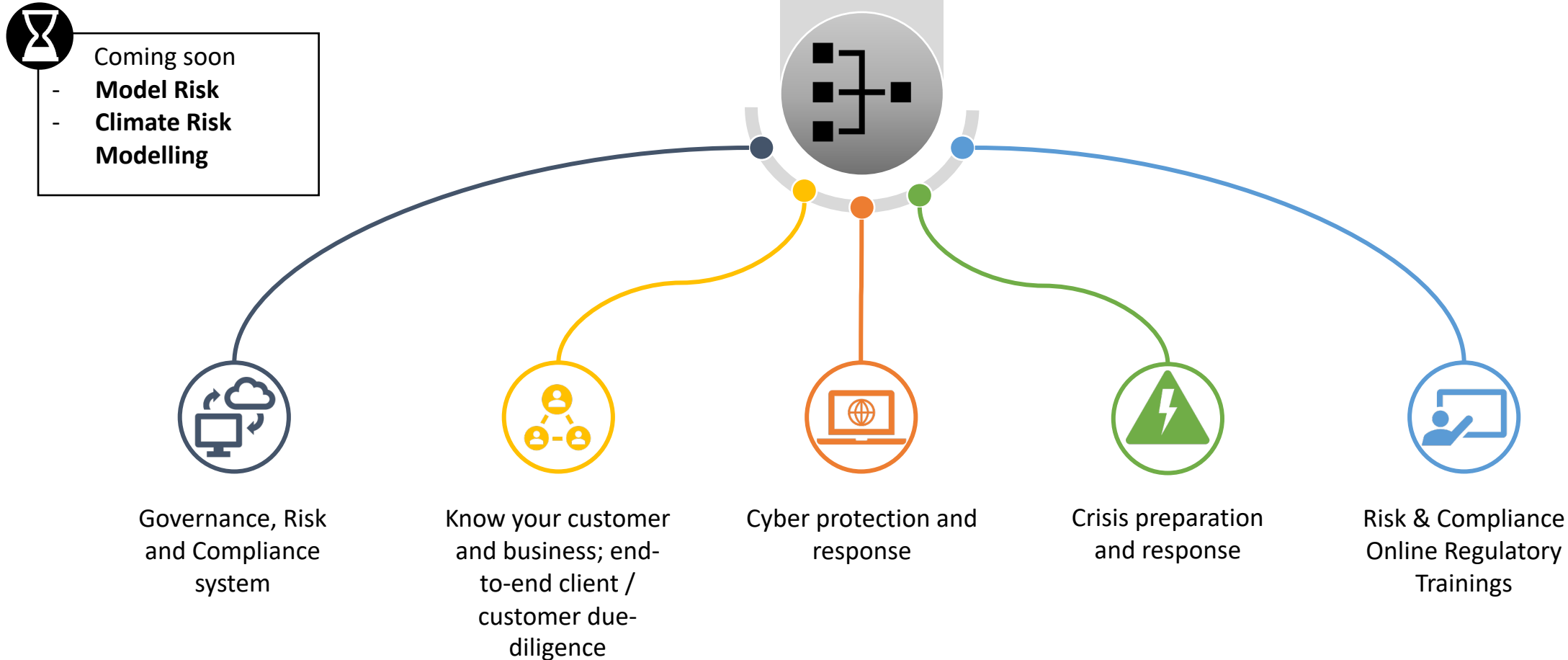
In today's fast-paced business landscape, staying ahead of risks is paramount.

That's why Aevitium LTD offers risk management as a service, freeing you from the burden of navigating complex uncertainties while enabling you to effectively manage your cost base.

By partnering with Aevitium LTD, you can focus on what you do best while our fractional risk experts handle your risk management needs with precision and professionalism.

Services tailored to your needs		Description	RiskSmart	RiskSense	RiskPro
			A streamlined risk activity management to empower your risk journey	Build on the RiskSmart offer to strengthen risk awareness organisation-wide	Build on the RiskSense offer to strengthen risk awareness organisation-wide
	Annual Planning	Collaboratively assess and strategise, identifying potential risks and crafting a customised and proportionate risk management framework for the year ahead.	X	X	X
	Quarterly Risk Register Management	Maintain a centralised risk register, documenting and tracking identified risks, their impact, and mitigation actions throughout the year.	X	X	X
	Risk Process Facilitation	Guide and facilitate risk management meetings, including risk assessment sessions, risk reviews, and risk treatment plan discussions to ensure a structured and efficient approach.	X	X	X
	Annual Risk Process Monitoring and Improvement	Monitor the effectiveness and efficiency of risk processes, identify areas for improvement, and implement enhancements to optimise the risk management workflow.	X	X	X
	Quarterly Risk Reporting and Communication	Provide clear and concise risk reports to leadership, enabling informed decision-making and fostering transparency throughout the organisation.	X	X	X
	Risk Advisory Hotline	Provide a dedicated hotline or email support for you to reach out with risk-related inquiries, clarifications, or guidance on an ad-hoc basis.	X	X	X
	Stakeholder Communication	Facilitate effective communication with internal and external stakeholders, including executives, board members, regulators, and insurance providers, to ensure a coordinated approach to risk management.		X	X
	Annual Training and Education Refresher	Provide tailored training workshops to enhance risk management knowledge and skills across the executive teams and the Board, ensuring you are equipped to manage your risks effectively.		Up to 3 sessions	Up to 5 sessions
	Risk Policy and Framework	Deliver and maintain tailored risk policies and policy frameworks to ensure compliance with relevant industry-specific regulations, driving business objectives.			Up to 5 policies
	Board Meeting Representation	Attend and actively contribute to board meetings, providing valuable insights on risk management. Enhance risk governance and integrate risk considerations into decision-making processes for proactive risk management strategies.			X
	Fractional Risk Officer	Support from a seasoned risk expert	Senior Risk Officer	Senior Risk Officer	Senior Risk Officer and Chief Risk Officer
Monthly Cost in GBP excl. VAT			£800/mo	£1,300/mo	£2,500/mo

I believe in providing holistic solutions to the challenges you face. I have access to an extensive network of specialist organisations and experts to bring to you innovative and cost-effective risk and compliance solutions.





Julien Haye

Managing Director & Founder

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Overview



Julien Haye is an accomplished Chief Risk Officer and Commercially Astute Risk Expert, known for his expertise in risk management and his dedication to servant leadership. As an Award-Winning LGBT Role Model, Julien is committed to nurturing inclusive, risk-aware, and high-performing cultures in the workplace.

Experience



Risk Management, Culture, Strategy and Transformation

With over 23 years of experience in the financial services industry, Julien Haye has held various leadership roles, ranging from front-office trader to Chief Risk Officer and transformation leader. He has a unique blend of experience in advising firms on how to strategically align their risk and compliance functions to drive business success while enhancing efficiency and effectiveness.

Education



Master in Business Administration (MBA), GARP Financial Risk Management (FRM)

Julien Haye, who completed his MBA at HEC Paris in 2008, has been consistently expanding his expertise in the areas of risk and business through the completion of various certifications – including FRM in 2010, the CBI Certification in Climate Risk in 2022, the CISI Level 4 Certificate in Managing Operational Risk in Financial Institutions in 2021, and the LIBF Certification in Principles of Payments in 2022.