



**AEVITIUM LTD**  
RISK & COMPLIANCE WITH PURPOSE

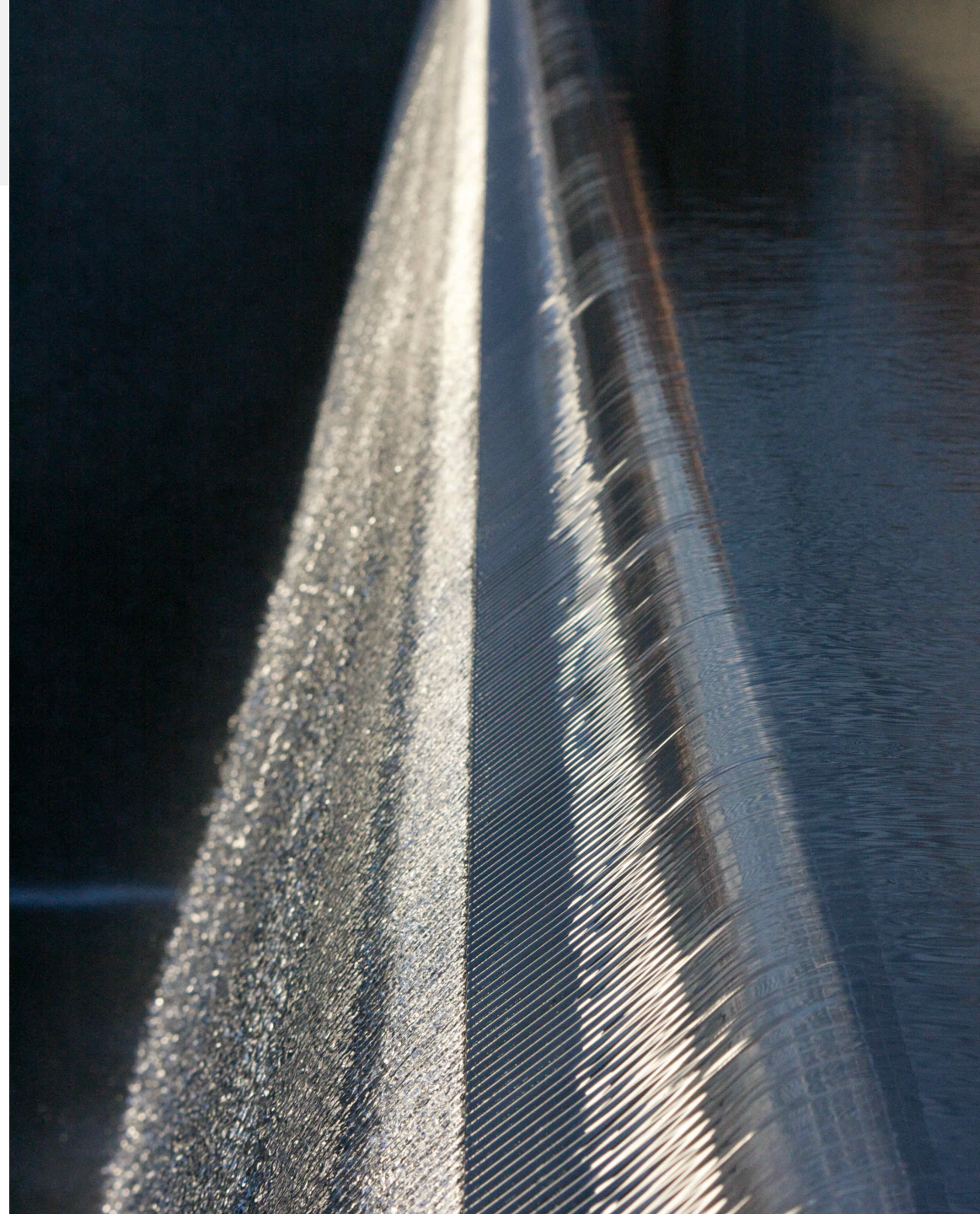
# **Aevitium LTD**

## **Consultancy and Advisory**

**Risk and Compliance with Purpose**

Julien Haye – Managing Director & Founder

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At Aevitium LTD, we help organisations to navigate complex regulatory and risk landscapes. Our expert risk and compliance consulting services empower businesses to focus on what they do best - pushing the boundaries of innovation and delivering outstanding services to their clients - while we ensure that they remain compliant with industry regulations and set on a sustainable growth path.



## Strategic Enablement

Align your risk and compliance activities to your business strategy and focus on the optimisation of your firm's resources (capital, liquidity, technology, etc) to ensure that your firm is well-positioned for success



## Policy & Frameworks

Assess, (re)design, roll-out, and embed fit-for-purpose and proportionate risk frameworks, policies and governance to help you to proactively identify and manage risks and to operate safely and efficiently



## Transformation

Transform your risk and compliance capabilities and culture, focusing on alignment of capacity, capabilities, innovation and psychological safety



## Outsourcing

See slide 4

Provide you with cost effective risk & compliance management outsourcing solutions based on your needs and priorities



## Advisory

Act as an advisor to Board and Executive Teams on risk and compliance matters, through strategic guidance, training and support to help you to make informed decisions



## Compliance

Guide you through the complexity of the regulatory landscape, regulatory approval process and regulatory delivery to enable compliance by design and to ensure that your firm becomes and stays compliant and avoids costly penalties



## Solutions

See slide 3

Focus your internal change and delivery on pro-active and innovative solutions and bring to market disruptive solutions working in collaboration with tech prodigies and designers

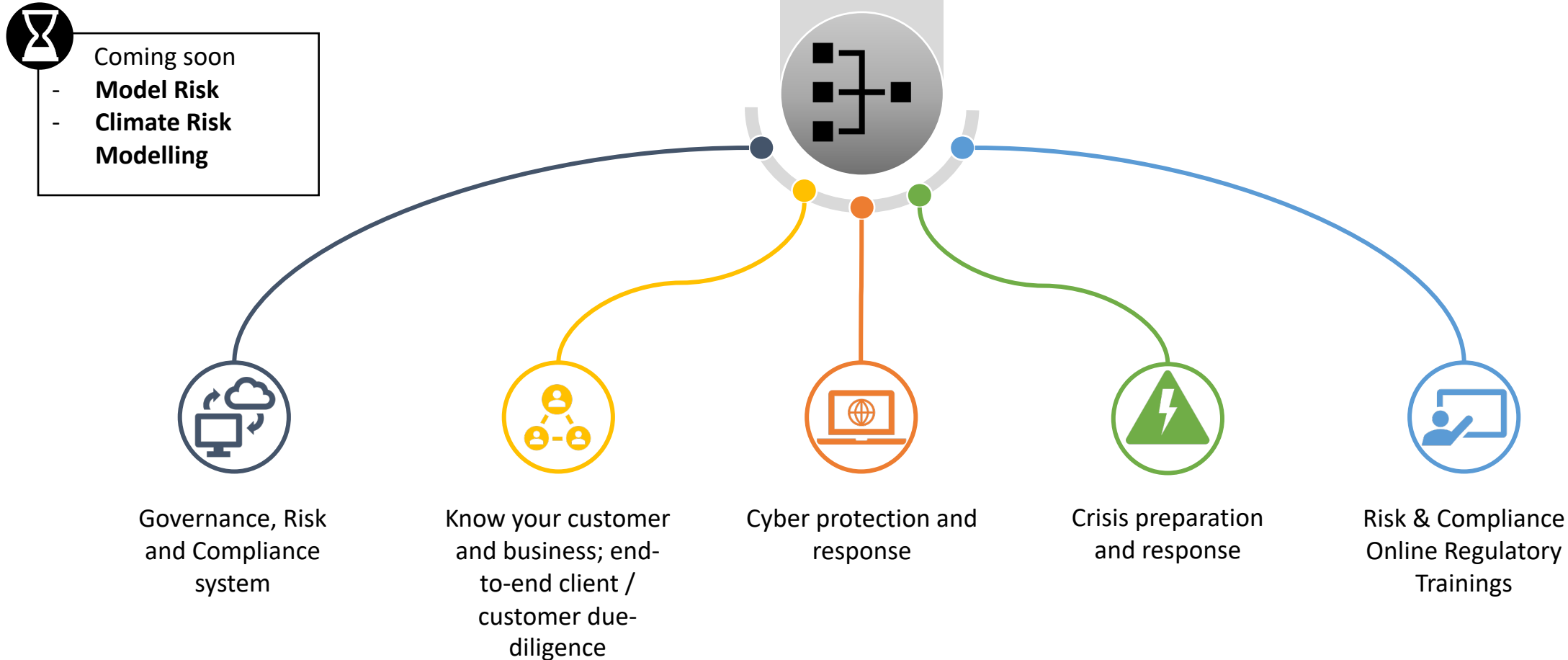


## Trainings











Deliver risk and compliance training and educational material



I believe in providing holistic solutions to the challenges you face. I have access to an extensive network of specialist organisations and experts to bring to you innovative and cost-effective risk and compliance solutions.



In today's fast-paced business landscape, staying ahead of risks is paramount. That's why Aevitium LTD offers risk management as a service, freeing you from the burden of navigating complex uncertainties while enabling you to effectively manage your cost base. By partnering with Aevitium LTD, you can focus on what you do best while we handle your risk management needs with precision and expertise.

Services tailored to your needs	Description	RiskSmart	RiskSense	RiskPro
		A streamlined risk activity management to empower your risk journey	Build on the RiskSmart offer to strengthen risk awareness organisation-wide	Build on the RiskSense offer to strengthen risk awareness organisation-wide
 <b>Annual Planning</b>	Collaboratively assess and strategise, identifying potential risks and crafting a customised and proportionate risk management framework for the year ahead.	X	X	X
 <b>Quarterly Risk Register Management</b>	Maintain a centralised risk register, documenting and tracking identified risks, their impact, and mitigation actions throughout the year.	X	X	X
 <b>Risk Process Facilitation</b>	Guide and facilitate risk management meetings, including risk assessment sessions, risk reviews, and risk treatment plan discussions to ensure a structured and efficient approach.	X	X	X
 <b>Annual Risk Process Monitoring and Improvement</b>	Monitor the effectiveness and efficiency of risk processes, identify areas for improvement, and implement enhancements to optimise the risk management workflow.	X	X	X
 <b>Quarterly Risk Reporting and Communication</b>	Provide clear and concise risk reports to leadership, enabling informed decision-making and fostering transparency throughout the organisation.	X	X	X
 <b>Risk Advisory Hotline</b>	Provide a dedicated hotline or email support for you to reach out with risk-related inquiries, clarifications, or guidance on an ad-hoc basis.	X	X	X
 <b>Stakeholder Communication</b>	Facilitate effective communication with internal and external stakeholders, including executives, board members, regulators, and insurance providers, to ensure a coordinated approach to risk management.		X	X
 <b>Annual Training and Education Refresher</b>	Provide tailored training workshops to enhance risk management knowledge and skills across the executive teams and the Board, ensuring you are equipped to manage your risks effectively.		Up to 3 sessions	Up to 5 sessions
 <b>Risk Policy and Framework</b>	Deliver and maintain tailored risk policies and policy frameworks to ensure compliance with relevant industry-specific regulations, driving business objectives.			Up to 5 policies
 <b>Board Meeting Representation</b>	Attend and actively contribute to board meetings, providing valuable insights on risk management. Enhance risk governance and integrate risk considerations into decision-making processes for proactive risk management strategies.			X
<b>Monthly Cost in GBP excl. VAT</b>		<b>£800/mo</b>	<b>£1,300/mo</b>	<b>£2,500/mo</b>



**Julien Haye**, ACSI, FRM, MBA, CertPAY, CertCRP  
*Managing Director & Founder*

## Contact me

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### Overview



Julien Haye is an accomplished Chief Risk Officer and Commercially Astute Risk Expert, known for his expertise in risk management and his dedication to servant leadership. As an Award-Winning LGBT Role Model, Julien is committed to nurturing inclusive, risk-aware, and high-performing cultures in the workplace.

### Experience



#### **Risk Management, Culture, Strategy and Transformation**

With over 23 years of experience in the financial services industry, Julien Haye has held various leadership roles, ranging from front-office trader to Chief Risk Officer and transformation leader. He has a unique blend of experience in advising firms on how to strategically align their risk and compliance functions to drive business success while enhancing efficiency and effectiveness.

### Education



#### **Master in Business Administration (MBA), GARP Financial Risk Management (FRM)**

Julien Haye, who completed his MBA at HEC Paris in 2008, has been consistently expanding his expertise in the areas of risk and business through the completion of various certifications – including FRM in 2010, the CBI Certification in Climate Risk in 2022, the CISI Level 4 Certificate in Managing Operational Risk in Financial Institutions in 2021, and the LIBF Certification in Principles of Payments in 2022.